FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C.	20549
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Sumitovant Biopharma Ltd.

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

See Footnote<sup>(3)</sup>

See Footnote<sup>(6)</sup>

11. Nature of Indirect Beneficial Ownership (Instr. 4)

mstruc	tion I(b).		Filed	or Sect						mpany A			1934						
1		f Reporting Person'nical Co., Ltd		2. Issue	er Nai	me <b>an</b>	d Ticke	er or	Trading	Symbol IYOV					lationship ck all app Direct	,	Ū	. ,	o Issuer
(Last) (First) (Middle) 27-1, SHINKAWA 2-CHOME				3. Date of Earliest Transaction (Month/Day/Year) 09/20/2021										Office below	er (give titl /)	le	Oth belo	er (specify ow)	
(Street) CHUO-I TOKYO	· M	0 1	04-8260	4. If Am	nendn	ment, [	Date of	Orig	inal File	d (Month	n/Day/`	Year)		. Ind ine)	Form	filed by C	ne Re	porting F	
(City)	(S		Zip)																
1 Title of	Coourity (Inc		2. Transaction	ative Se			Acqı	uire	_	posed				iall	y Own		l e 0'''	nership	7. Nature of
1. Title of	Security (Ins	u. 3)	Date (Month/Day/Yea	Execut	tion D	Oate,	Trans Code 8)	(Inst	n Disp	osed Of		str. 3, 4	4 and 5)		Securitie Benefici Owned Followin Reported Transact	es ally ng d		Direct ct (I)	Indirect Beneficial Ownership (Instr. 4)
							Code	V	Amo		(D)	+			(Instr. 3	and 4)			See
Common	Stock		09/20/2021	_			P	_	38,	.273 <sup>(1)</sup>	A	\$2	22.0957	7(2)	49,75	54,708		I	Footnote
Common	Stock		09/21/2021				P		43,	000(4)	A	\$2	22.4756	(5)	49,79	7,708		I	See Footnote
		Та	ble II - Derivat (e.g., pı												Owned	d			
1. Title of Derivative Security (Instr. 3)  2. Conversior or Exercise Price of Derivative Security		3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Ins 8)			expirative rities ired rosed ) 3, 4		ation Da	e Exercisable and tion Date I/Day/Year)		Amount of Securities Underlying Derivative Security (Ins 3 and 4)		De Se	Price of erivative ecurity nstr. 5)	9. Numbo derivativ Securitie Beneficia Owned Followin Reported Transact (Instr. 4)	e es ally g	Owners Form: Direct ( or Indii (I) (Inst	Benefic Owners ect (Instr. 4
				Code V	,	(A)		Date Exerc	cisable	Expirati Date			Amount or Number of Shares						
1		f Reporting Person' nical Co., Ltd	<u>.</u>																
(Last) 27-1, SH	IINKAWA	(First) 2-CHOME	(Middle)																
(Street) CHUO-I TOKYO		M0	104-8260																
(City)		(State)	(Zip)																
		f Reporting Person' itomo Pharma																	
(Last)	SHOMACH	(First) II 2-CHOME	(Middle)																
(Street) CHUO-I OSAKA		M0	541-0045																
(City)		(State)	(Zip)																
1. Name a	nd Address o	f Reporting Person			1														

(Last)	(First)	(Middle)						
11-12 ST. JAMES'S SQUARE SUITE 1								
3RD FLOOR								
(Street)	X0	SW1Y 4LB						
LONDON	Λυ	5W11 4LD						
(City)	(State)	(Zip)						

## **Explanation of Responses:**

- 1. This acquisition of a total of 38,273 ordinary shares on the open market is pursuant to a Rule 10b5-1 Stock Trading Plan entered into by the Reporting Person on May 14, 2021 (the "10b5-1 Trading Plan").
- 2. The transaction was executed in multiple trades ranging from \$21.80 to \$22.36 per share. The price reported above reflects the weighted average purchase price. The Reporting Person hereby undertakes to provide, upon request by the Commission Staff, the Issuer or a security holder of the Issuer, full information regarding the number of shares and prices at which the transactions were effected.
- 3. Sumitovant Biopharma Ltd. ("Sumitovant") directly owns a total of 49,754,708 shares of Common Stock following the acquisition on September 20, 2021. Sumitovant is a wholly-owned subsidiary of Sumitomo Dainippon Pharma Co., Ltd. ("Sumitomo Chemical"), Sumitomo Dainippon and Sumitomo Chemical Busidiary of Sumitomo Chemical Co., Ltd. ("Sumitomo Chemical"), Sumitomo Dainippon and Sumitomo Chemical may be deemed to indirectly beneficially own (as that term is defined in Rule 13d-3 under the Securities Exchange Act of 1934, as amended) the reported securities that Sumitovant owns. Each of Sumitomo Dainippon and Sumitomo Chemical disclaims beneficial ownership of such reported securities except to the extent of their pecuniary interest therein.
- 4. This acquisition of a total of 43,000 ordinary shares on the open market is pursuant to the 10b5-1 Trading Plan.
- 5. The transaction was executed in multiple trades ranging from \$22.17 to \$22.77 per share. The price reported above reflects the weighted average purchase price. The Reporting Person hereby undertakes to provide, upon request by the Commission Staff, the Issuer or a security holder of the Issuer, full information regarding the number of shares and prices at which the transactions were
- 6. Sumitovant directly owns 49,797,708 shares of Common Stock following the acquisition on September 21, 2021. Sumitovant is a wholly-owned subsidiary of Sumitomo Dainippon, which is a 51.76% owned subsidiary of Sumitomo Chemical. Sumitomo Dainippon and Sumitomo Chemical may be deemed to indirectly beneficially own (as that term is defined in Rule 13d-3 under the Securities Exchange Act of 1934, as amended) the reported securities that Sumitovant owns. Each of Sumitomo Dainippon and Sumitomo Chemical disclaims beneficial ownership of such reported securities except to the extent of their pecuniary interest therein.

## Remarks:

Sumitomo Chemical Co., Ltd.,

By: /s/ Swathi Padmanabhan, 09/22/2021

as Attorney-in-Fact

Sumitomo Dainippon Pharma

Co., Ltd., By: Tsutomu

Nakagawa, Senior Director, 09/22/2021

Global Corporate Strategy, /s/

Tsutomu Nakagawa

Sumitovant Biopharma Ltd.,

By: /s/ Swathi Padmanabhan, 09/22/2021

as Attorney-in-Fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.